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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/836,281
Filing Date	April 18, 2001
First Named Inventor	RIESS et al
Examiner Name	Not assigned
Group / Art Unit	2631
Attorney Docket No.	11927/46001

TOTAL AMOUNT OF PAYMENT (\$) 130

METHOD OF PAYMENT (check one)1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:Deposit
Account
Number

11-0600

Deposit
Account
Name

KENYON & KENYON

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit card ☐ Money
Order ☐ Other
FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$) 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid	
-20	= 0	X	= 0	
Independent Claims	-3	= 0	X	= 0
Multiple Dependent		X	= 0	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130.00
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 130

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)

Robert L. Halls, Jr.

Registration No. Attorney/Agent)

39,702

Telephone

(202) 220-4200

Signature

Robert L. Halls, Jr.

Date

September 18, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

RIESS et al

Serial No.: 09/836,281

Filed: April 18, 2001

For: RELIABLE SYMBOLS AS A MEANS OF
IMPROVING THE PERFORMANCE OF
INFORMATION TRANSMISSION
SYSTEMS

Examiner: Not assigned

Art Unit: 2631

RECEIVED
SEP 21 2001
Technology Center 2600

REQUEST FOR PUBLICATION OF REDACTED PATENT APPLICATION

Commissioner for Patents and Trademarks
BOX PG-PUB
Washington, D.C. 20231

Sir:

Pursuant to Rule 217, Applicants request that the above-referenced application be published in redacted form. Applicants have filed a redacted copy of the application using the Office's electronic filing system. Pursuant to Rule 217 (c), Applicants submit herewith:

- certified copies of the four foreign-filed patent applications to which this application claims priority under 35 U.S.C. § 119 (provided in Appendices A-D respectively);
- a marked-up copy of the application showing redactions in brackets (provided in Appendix E); and
- a certification that the redacted copy of the application eliminates only the part or description of the invention in the present application that is not contained in any of the prior foreign-filed applications (below).

Please charge all fees associated with this submission to the Kenyon & Kenyon Deposit Account Number 11-0600.

This application meets the statutory requirements for redaction of applications specified in 35 U.S.C. § 122(b)(2)(B)(v). One of the applicants to this invention filed four

09/19/2001 BSAYASI1 00000052 110600 09836281

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applications abroad (two UK applications which were subsequently filed in the PCT designating the United States). The collective disclosure of these foreign-filed applications is less extensive than the description of the present application. For example, none of the prior applications contain any disclosure corresponding to the discussion provided in paragraphs 24-36 (equations 3-5) or paragraphs 42-45. Thus, this application is statutorily eligible for redacted publication.

This application does not meet the requirements of Rule 217 (a) insofar as it requires this request to be filed within 16 months of the earliest effective date to which priority is claimed. Applicants have submitted herewith a petition for suspension of this portion of Rule 217 (a).

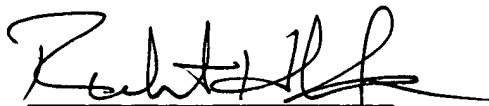
Applicants completed the requirements of electronic filing on September 18, 2001. An Electronic Filing System (EFS) submission was filed by John Altmiller, attorney of record. Receipt of the electronically filed submission has been confirmed by personnel of the Office's EBC Customer Support Center.

CERTIFICATION

The undersigned hereby certifies that, to the best of his knowledge and belief, the redacted copy of the application submitted herewith eliminates only the part of description of the invention that is not contained in any of the four foreign-filed patent application to which this application claims priority. All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; additionally, all statements made herein are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. § 1001) and may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Date: September 18, 2001


Robert L. Hails, Jr.
Registration No. 39,702

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RIESS et al
SERIAL NO. : 09/836,281
FILING DATE : April 18, 2001
FOR : RELIABLE SYMBOLS AS A MEANS OF IMPROVING THE
PERFORMANCE OF INFORMATION TRANSMISSION
SYSTEMS
GROUP ART UNIT : 2631
EXAMINER : Not assigned

ASSISTANT COMMISSIONER FOR
PATENTS AND TRADEMARKS
Washington, D.C. 20231

TRANSMITTAL OF CERTIFIED COPIES

SIR:

Attached please find the certified copies of the foreign applications from which priority is claimed for this case. The certified copies accompany the Request for Publication of Redacted Patent Applications, filed herewith.

Country	: United Kingdom	Country	: PCT
Application No	: 9926167.9	Application No.	: 00/02648
Filing Date	: November 4, 1999	Filing Date:	: July 10, 2000
Country	: United Kingdom	Country	: PCT
Application No	: 0016938.3	Application No.	: 00/02634
Filing Date	: July 10, 2000	Filing Date:	: July 10, 2000

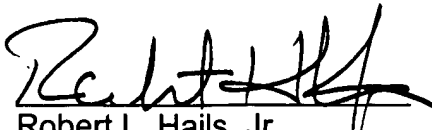
The Examiner is invited to call the undersigned at (202) 220-4200 to discuss any information concerning this application.

The Office is hereby authorized to charge any additional fees under 37 C.F.R. § 1.16 or § 1.17 or credit any overpayment to Deposit Account No. 11-0600.

Respectfully submitted,

KENYON & KENYON

Date: September 18, 2001


Robert L. Hails, Jr.
Registration No. 39,702